

Now you have a **REAL** choice

Let **SecuritEase**® provide you with the keys to a better back office.

The **SecuritEase** System is a real time Multi-Currency, Multi-Market, Multi-Instrument and Multi-Company back office settlement and clearing system that performs all settlement, corporate action and commission/brokerage accounting. It records financial position in its integrated General Ledger, and instrument position in its integrated portfolio position keeping system.

The Key to simplicity

Simplicity is the hallmark of good design. We at SecuritEase continually strive to simplify back office processing. We deliver a system that is easier to use and will allow you to be more productive.

Key features of our approach are: Exception only processing, Alignment of automation to support back office procedures, Removal of manual processes wherever possible and Consolidation of functions into fewer but more powerful processing screens.

Simple User Interface

The SecuritEase System has a multi-window and multi-tab graphical user interface. You can customise the screen by modifying default colours and fonts. A commonly used screen can be opened automatically and located at its saved position. Tool tip text provides useful information; for example, move the mouse pointer over an item to get help or hover over a holding record to see up to date Cum Entitlement balances.

Dashboard style display of important processing and management information keeps you in touch at a glance.

Spreadsheet Integration

One button extract to MS-Excel and other spreadsheets.

Drag and Drop functionality to upload files e.g. bank statements and large journals from external sources.

Smart Selection

Save time dealing with exceptions by using SecuritEase smart selection. Identify and group exceptions with a single mouse click and trigger appropriate processing with right click options.

The Key to start your business on a new level

Automated Corporate Action Processing

Simplify your Corporate Action processing with the advanced automation available from the SecuritEase System. SecuritEase Corporate Action processing has been developed using the most modern tools available and unlike some products exploits all CHES and Reference Point features to the fullest extent possible.

You are always up to date because:

- House trading positions are updated on Ex date.
- Client holdings are updated with notional entitlements on Ex date.

Get diary definitions early via Reference Point, Automate processing for all diary events, Reconcile DvP corporate actions to CHES, Automatically apply Protection & Accruals, Automatically post entries to portfolios.

Quick processing of scrip loans

The SecuritEase System has a smart scrip loan processor. Arrange and process multiple loans with only a few mouse clicks. The SecuritEase System creates both loan and return loan legs immediately and automatically sends DvP messages.

Exception only processing

By default only errors, exceptions and matters that require back office intervention are displayed. This allows you to focus on important issues, rather than sifting through irrelevant transaction detail.

Scrip Settlement processing

Configure the schedule for automatic scrip settlement, DvP and Demand messages to suit your needs.

Cash Settlement Processing

Easily manage the complex workflow associated with Special Instructions. Settlements which do not use the standing instructions are automatically identified and can be accepted using "paperless authorisation". Flexible schedules for bulk CMT and bank file payment and receipt processing returns control to you.

Bookings and Orders

As you would expect, the SecuritEase System has fully automated booking allowing STP on the majority of orders. The SecuritEase patented Bulk Booking function streamlines complicated institutional and house trading bookings and automatically raises orders. Drag and drop schedules from spreadsheets to automatically raise client orders in bulk.

Faster response to inquiries

Full drill-up/drill-down capability provides the ability to quickly and easily see the full financial movements associated with each portfolio movement and full portfolio movement relating to each GL movement.

 **SecuritEase**®
The key to unlock your future



Make the right move in CHESSE



The SecuritEase CHESSE interface has been developed from the ground up to use the latest CHESSE message set.

The automated CHESSE interface supports business processes rather than just supplying a mechanism to manually enter CHESSE messages.

Intelligent CHESSE Message Sequencing

Back office staff decide what to do and let the SecuritEase System determine the CHESSE message sequence required. That means staff can do their job without an in-depth knowledge of CHESSE or CHESSE jargon.

For example, deciding to change a DvP settlement to non DvP causes the SecuritEase System to cancel the DvP message and on receiving acknowledgement of the cancellation, automatically send the replacement non DvP message.

The SecuritEase CHESSE interface will save you time and money. The SecuritEase System will not send a CHESSE message if it knows the message will fail (e.g. for insufficient stock or missing SRN). SecuritEase immediately reports an exception in these circumstances.

The Key to lock down risk



Reconciliations: Show you are in control

Have confidence that active client HIN balances are correct because SecuritEase performs real-time HIN balance checks at every HIN balance change.

Use the SecuritEase Daily Counterparty Funds Balance reconciliation to prove that you balance with CHESSE DvP, your biggest counterparty!

Confirm the health and integrity of your system with SecuritEase XCHECK data/database monitoring.

Compliance, Compliance, Compliance

Fulfill the terms of your Australian Financial Services Licence by complying with KYC requirements for ROA/SOA and client profile.

Tailored reports for specific requirements like: AIIIR, non resident withholding, non disclosure TFN withholding, ATO TFN return and Rule S1 (ASX capital adequacy) ensure statutory returns are compiled on time from the primary record thereby ensuring compliance.

Multi-Company

Clearly delineate different parts of your business but still be able to get the full picture. With The SecuritEase System you can separate Trading, Cash Management, and Margin Lending products into different legal entities, account for those entities individually but view customer accounts in those entities via a single counterparty.

The Key to unlock your potential



Access to data

Your data is a valuable asset. The SecuritEase System makes your data available and puts it to work for you. Use industry standard tools to quickly but securely gain access to client and transaction data. Generate new business opportunities through targeted marketing campaigns and better analysis of your customers and their trading patterns.

Multi-Currency, Multi-Market

Offer international or domestic clients full cross currency trading. Book transactions in foreign currencies and markets and settle in any currency locally with automatic FX calculations and conversions.

The SecuritEase System has specialised procedures to simplify the accounting for foreign currency trades and arranging the associated foreign currency cover. In addition, the SecuritEase System allows advisors to make a margin on foreign currency transactions and can account for that separately to brokerage at the individual, group and enterprise levels.

Financial Planners

The SecuritEase System caters for servicing the needs of financial planner intermediaries and their clients.

The SecuritEase System's flexible counterparty structure and the roles based security system means that you can offer intermediaries a one stop shop for execution, settlement, rebate accounting and management reporting.

Equities plus Bonds and Bills

The SecuritEase System provides seamless processing of equity and fixed interest instruments with clearing and settlement through a single back office process. More generally, any unit priced instrument may be processed with the SecuritEase System.

Integration

Get well connected with the SecuritEase System. Whether you need standard interfaces to banks and intermediary brokers in other markets or specialised interfaces to integrate with customer facing web sites and internal applications, the SecuritEase System has the capability to interoperate.



SecuritEase[®]
The key to unlock your future